

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: A8739

Senitiroh HAKOMORI, et al.

Appln. No.: 10/812,357

Group Art Unit: 1642

Confirmation No.: 4105

Examiner: Brandon J. Fetterolf

Filed: March 30, 2004

For:

RM2 ANTIGEN (Beta1,4-GAINAC-DISIALYL-LC) AS PROSTATE CANCER-

ASSOCIATED ANTIGEN

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith with a copy of the International Search Report issued in the corresponding international application (PCT/US05/10221) dated October 20, 2006. The references cited in this International Search Report that are not listed on the attached PTO/SB/08 A & B (modified) are already of record in the present application.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/812,357

The present Information Disclosure Statement is being filed before a Final Office Action,

Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever

is earlier), and therefore a Statement Under 37 C.F.R. § 1.97(e) is being submitted herewith. No

fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373 CUSTOMER NUMBER

Date: November 22, 2006

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Date: November 22, 2006

Mark L. Hayman

Registration No. 51,793

(use as many sheets as necessary)

Sheet	1	of	1

Comp	olete if Known	
Application Number	10/812,357	
Confirmation Number	4105	
Filing Date	March 30, 2004	
First Named Inventor	Senitiroh HAKOMORI	
Art Unit	1642	
Examiner Name	Brandon J. Fetterolf	
Attorney Docket Number	A8739	

U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.1	Cito	Document	Number	Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or		
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		NON PATENT LITERATURE DOCUMENTS		
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	****	SPECHT, et al., American Journal of Phathology 2001, Vol. 158, No. 2, page 419-429	In English	
		SAITO, et al., International Journal of Cancer 2005, Vol. 115, pages 105-113	In English	
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Examiner Signature		Date Cons	sidered
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.